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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |  |                     |
|--|--|---------------------|
| Application Number                       |  | 09/989,328          |
| Filing Date                              |  | November 19, 2001   |
| First Named Inventor                     |  | Goddard, et al.     |
| Group/Art Unit                           |  | 1646                |
| Examiner Name                            |  | Kemmerer, Elizabeth |
| Total Number of Pages in This Submission | Attorney Docket Number 39780-2730P1C54 |                     |

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b><br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> <b>Amendment / Response</b><br><input checked="" type="checkbox"/> After Final<br><input type="checkbox"/> Version with Markings Showing Changes<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input checked="" type="checkbox"/> <b>Information Disclosure Statement (with cited references)</b><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b><br>stamped return postcard |
| Remarks<br><b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER (Reference Atty. Docket No. 39780-2730P1C54).</b>   |   |  |

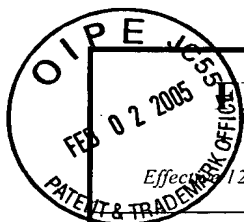
## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

|                         |  |                  |       |
|-------------------------|--|------------------|-------|
| Firm or Individual name | GINGER R. DREGER, ESQ., REG. NO. 33,055, HELLER EHRMAN WHITE & McAULIFFE LLP |                  |       |
| Signature               |  |                  |       |
| Date                    | FEBRUARY 2, 2005   | Customer Number: | 35489 |

## CERTIFICATE OF EXPRESS MAILING

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|   |                   | Express Mail Label | EV 582 627 265 US |
| Typed or printed name   | CHERYL ANN ROGERS |                    |                   |
| Signature   |                   | Date               | FEBRUARY 2, 2005  |

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Approved for use through 04/30/2003. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FREE TRANSMITTAL for FY 2005

Effective 12/08/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 09/989,328          |
| Filing Date          | November 19, 2001   |
| First Named Inventor | Goddard, et al.     |
| Examiner Name        | Kemmerer, Elizabeth |
| Art Unit             | 1646                |
| Attorney Docket No.  | 39780-2730P1C54     |

## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

08-1641 (Docket No. 39780-2730P1C54)

Deposit  
Account  
Name

Heller Ehrman White & McAuliffe LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 1001                 | 300                   | 2001                 | 150                   | Utility filing fee     |          |
| 1002                 | 350                   | 2002                 | 175                   | Design filing fee      |          |
| 1003                 | 550                   | 2003                 | 275                   | Plant filing fee       |          |
| 1004                 | 790                   | 2004                 | 395                   | Reissue filing fee     |          |
| 1005                 | 200                   | 2005                 | 100                   | Provisional filing fee |          |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |  | Extra Claims | Fee from below | Fee Paid |
|--------------------|--|--------------|----------------|----------|
| Total Claims       |  | -20** =      |                |          |
| Independent Claims |  | -3** =       |                | 0        |
| Multiple Dependent |  |              |                | 0        |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description   |
|----------------------|-----------------------|----------------------|-----------------------|---|
| 1202                 | 50                    | 2202                 | 25                    | Claims in excess of 20                                    |
| 1201                 | 200                   | 2201                 | 100                   | Independent claims in excess of 3                         |
| 1203                 | 360                   | 2203                 | 180                   | Multiple dependent claim, if not paid                     |
| 1204                 | 200                   | 2204                 | 100                   | **Reissue independent claims over original patent         |
| 1205                 | 50                    | 2205                 | 25                    | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 1051                 | 130                   | 2051                 | 65                    | Surcharge - late filing fee or oath  |          |
| 1052                 | 50                    | 2052                 | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                 | 130                   | 1053                 | 130                   | Non-English specification  |          |
| 1812                 | 2,520                 | 1812                 | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                 | 920*                  | 1804                 | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                 | 1,840*                | 1805                 | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 1251                 | 120                   | 2251                 | 60                    | Extension for reply within first month                                     |          |
| 1252                 | 450                   | 2252                 | 225                   | Extension for reply within second month                                    |          |
| 1253                 | 1,020                 | 2253                 | 510                   | Extension for reply within third month                                     |          |
| 1254                 | 1,590                 | 2254                 | 795                   | Extension for reply within fourth month                                    |          |
| 1255                 | 2,160                 | 2255                 | 1,080                 | Extension for reply within fifth month                                     |          |
| 1401                 | 500                   | 2401                 | 250                   | Notice of Appeal   |          |
| 1402                 | 500                   | 2402                 | 250                   | Filing a brief in support of an appeal                                     |          |
| 1403                 | 1,000                 | 2403                 | 500                   | Request for oral hearing   |          |
| 1451                 | 1,510                 | 1451                 | 1,510                 | Petition to institute a public use proceeding                              |          |
| 1452                 | 500                   | 2452                 | 250                   | Petition to revive - unavoidable   |          |
| 1453                 | 1,500                 | 2453                 | 750                   | Petition to revive - unintentional   |          |
| 1501                 | 1,400                 | 2501                 | 700                   | Utility issue fee (or reissue)   |          |
| 1502                 | 800                   | 2502                 | 400                   | Design issue fee   |          |
| 1503                 | 1,100                 | 2503                 | 550                   | Plant issue fee  |          |
| 1460                 | 130                   | 1460                 | 130                   | Petitions to the Commissioner  | 130.00   |
| 1807                 | 50                    | 1807                 | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                 | 180                   | 1806                 | 180                   | Submission of Information Disclosure Stmt                                  | 180.00   |
| 8021                 | 40                    | 8021                 | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 1809                 | 790                   | 2809                 | 395                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                 | 790                   | 2810                 | 395                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                 | 790                   | 2801                 | 395                   | Request for Continued Examination (RCE)                                    |          |
| 1802                 | 900                   | 1802                 | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$310.00)

## SUBMITTED BY

|                   |                        |                                   |                  |              |              |
|-------------------|------------------------|-----------------------------------|------------------|--------------|--------------|
| Name (Print/Type) | GINGER R. DREGER, ESQ. | Registration No. (Attorney/Agent) | 33,055           | Telephone    | 650-324-7000 |
| Signature         |                        | Date                              | FEBRUARY 2, 2005 | Customer No. | 35489        |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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